

Patent T.D. Attorney Docket: 254/059  
6/27/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
Inventor: Eric Kintzer and Carlos Serrano ) Group Art Unit: 2161  
Serial No.: 09/751,535 ) Examiner: Unknown  
Filed: December 29, 2000 )  
For: METHOD AND SYSTEM FOR )  
IMPLEMENTING RULES AND )  
RULEFLOWS )

### TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

#### I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on February 15, 2001, enclosed are:

- Declaration
- Power of Attorney:  Separate or  Combined with Declaration
- Assignment of the invention to Brokat Technologies Inc. (together with PTO Form 1595)

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#### CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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April 13, 2001  
Date of Deposit

Cindy McMorrow

Name of Person Mailing Paper

*Cindy McMorrow*  
Signature of Person Mailing Paper



Patent  
Attorney Docket: 254/059

- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)
- Transmittal for Substitution Drawings including 23 sheets of drawings.

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

<b>EXTENSION (months)</b>	<b>FEES FOR SMALL ENTITY</b>	<b>FEES FOR OTHER THAN SMALL ENTITY</b>
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

Fee

If any extension fee is required, please consider this a petition therefor.

### III. FILING FEES

Applicant claims small entity status pursuant to 37 CFR 1.27.



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IV. METHOD OF PAYMENT OF FEES:

- A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$1,924.00**.
- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

By:

  
Peter C. Mei  
Reg. No. 39,768

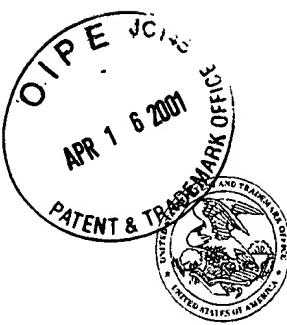
Dated: 4/13/01



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(408) 993-1555

#3  
TIP  
02/17/01

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,535	12/29/2000	Eric Kintzer	254/059

## CONFIRMATION NO. 7431

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

## FORMALITIES LETTER



\*OC000000005770014\*

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1044.
  - \$1044 for 58 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

04/19/2001 EABUBAKI 00000058 122475 09751535

- The balance due by applicant is \$ 1884.

01 FC:101	710.00 CH
02 FC:103	1044.00 CH

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent  
Attorney Docket: 254/059  
#3 P.D. 6/27/01

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For: METHOD AND SYSTEM FOR )  
IMPLEMENTATION RULES AND )  
RULEFLOWS )

TRANSMITTAL OF SUBSTITUTION DRAWINGS

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of NonProvisional Application dated February 15, 2001, transmitted herewith for filing in the above-referenced application are the substitute drawings (23 sheets), for compliance with 37 CFR 1.84.

Respectfully submitted,

LYON & LYON LLP

By:

  
Peter C. Mei  
Reg. No. 39,768

Dated: 4/13/01

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(408) 993-1555

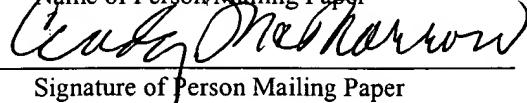
CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

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April 13, 2001  
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Name of Person Mailing Paper

  
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